

SEMI-ANNUAL REPORT

1 October 2002 — 31 March 2003

HRizons

Global Leadership, Research & Development
Responsibilities & Best Practices in HIV/AIDS

Population Council
International Center for Research on Women
International HIV/AIDS Alliance
Program for Appropriate Technology in Health
Tulane University
Family Health International
Johns Hopkins University

HRizons

Semi-Annual Report October 1, 2002 – March 31, 2003

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HORIZONS PROGRAM

Semi-Annual Report

October 1, 2002 – March 31, 2003

I. INTRODUCTION AND BACKGROUND

A. Summary Program Description

Reducing HIV transmission and mitigating the global impact of the HIV/AIDS pandemic is an important objective of USAID's Global Programs Bureau. The cooperative agreement entitled *Global Leadership, Research & Development Best Practices in HIV/AIDS* was awarded by USAID in July 1997; a cost extension for an additional five years was granted in 2002. The purpose of this program is to conduct operations research to identify and develop best practices for preventing HIV/AIDS and mitigating its impact. The program that came to be known as Horizons, with the Population Council as lead agency, was initiated in August of 1997, with staff comprised of Council personnel and seconded staff. Partner organizations are:

- International Center for Research on Women
- PATH
- The International HIV/AIDS Alliance
- Tulane University
- Family Health International
- Johns Hopkins University

General objectives of the Horizons Program are to:

- Identify cutting edge issues
- Suggest refinements for existing programs and activities
- Propose innovative approaches that can be tested through field-based, program-oriented operations research
- Recommend demonstrated best practices for implementation and going to scale

With partners and staff located in Washington, Kenya, South Africa, Senegal, Ghana, India, and Thailand, Horizons works closely with local research and service delivery organizations to design and implement operations research (OR) studies that address various aspects of the HIV/AIDS pandemic.

To date, Horizons has conducted 129 subagreement or in-house projects in twenty-three countries, in addition to seventeen global, one interregional and six regional projects.

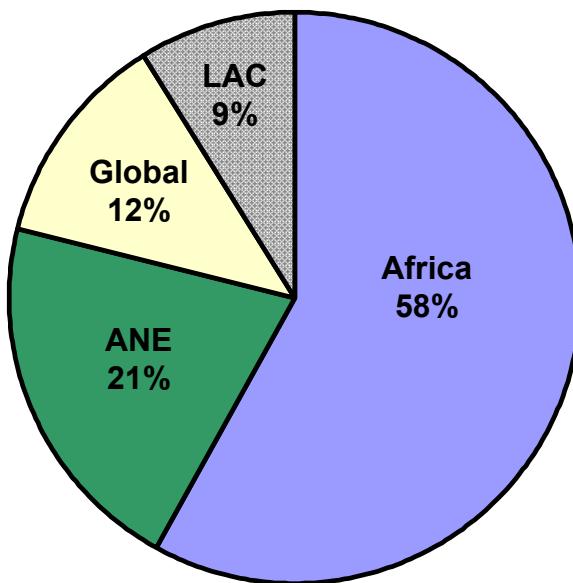
**Summary of Subagreement/In-House Projects by Country
(8/1997 to present)**

Country	Number	Country	Number
Global	17	Mexico	2
Inter-Regional*	1	Nepal	2
Africa Region	6	Nicaragua	1
Brazil	7	Nigeria	1
Burkina Faso	4	Pakistan	1
Cambodia	1	Senegal	6
Dominican Republic	4	South Africa	15
Ecuador	1	Tanzania	2
Ghana	2	Thailand	9
India	12	Uganda	7
Jamaica	1	Vietnam	4
Kenya	8	Zambia	9
Madagascar	1	Zimbabwe	5
		TOTAL	129

*Brazil, Thailand, Cambodia, Latvia

(Does not include Horizons' six institutional partnerships.)

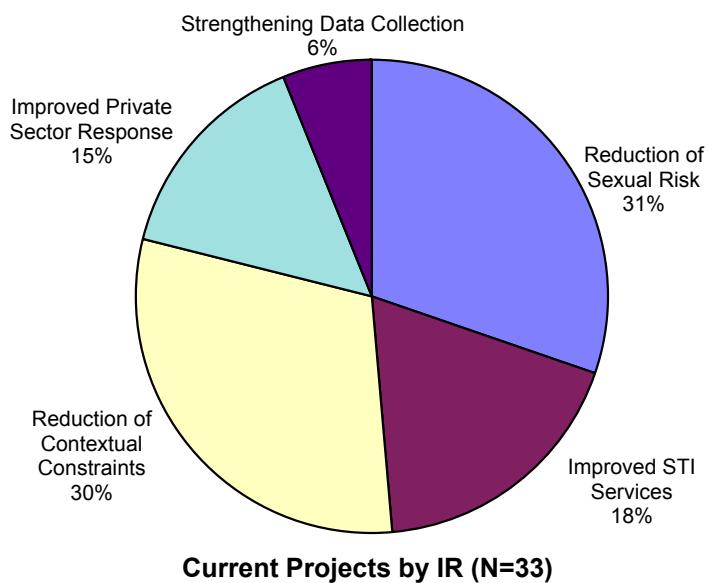
Current Project Locations (N=33)



(Does not include Horizons' six institutional partnerships.)

As practiced by Horizons staff, Operations Research is a problem-solving process comprised of five steps: problem identification and diagnosis, strategy selection, strategy experimentation and evaluation, information dissemination, and results utilization.

The Horizons research agenda is a well-balanced portfolio of activities on a range of topics that can be addressed within the time frame and resources (both financial and staff) available. (Please see the following chart, illustrating the breakdown by intermediate result of thirty-three projects currently being implemented by Horizons staff and collaborators.)



We focus on operational, program, and policy-relevant issues related to HIV/AIDS that affect a relatively large geographic area, such as an entire country or region. Our research topics are selected for their potential to generate findings that can be scaled up and/or replicated elsewhere.

We prefer to conduct intervention studies that compare two or more approaches to organizing program activities and delivering services. However, we may undertake a limited number of diagnostic and evaluative studies, if they can generate information that is relevant to the design of an intervention study or to the design of programs and policies that affect a relatively large geographic area.

B. Summary of Activities: Project Director's Assessment

During the period, USAID approval was obtained for five new operations research study proposals as well as four new communications and dissemination activities. More than 20 additional study proposals covering a variety of important programmatic topics are currently being developed or are under review.

Overall, the Horizons Program has moved rapidly to implement a new research agenda with greater emphasis on care and support activities as well as continued emphasis on the many important areas of prevention. For example, studies that examine various aspects of introducing antiretroviral drugs, such as issues of adherence, are being developed in Brazil, Kenya, and Thailand while studies focusing on home based care givers are being developed in South Africa, Zambia, and Kenya.

In recognition of the huge number of children affected by HIV/AIDS, studies addressing various aspects of orphan care are being developed in Tanzania, Cambodia, Rwanda, and Zimbabwe. At the same time that these care and support studies are being developed, new studies focusing on prevention issues are being implemented, such as the control of STIs and the prevention of HIV transmission among truck drivers in Brazil, and the promotion of VCT among youth in Zambia.

In addition to developing and implementing new studies, the Horizons Program continued to work on completing final study reports and to disseminate findings from these studies. In India, for example, more than 100 participants from the National AIDS Control Organization, major government agencies, USAID, international organizations, and NGOs convened in New Delhi for a seminar entitled “What Works in HIV/AIDS Care and Support?” Sponsored by Horizons, the gathering was one of the first major national events focusing on care and support and highlighted four Horizons studies based in India that focused on this topic.

In Vietnam, the findings from the construction workers study were presented at a seminar in Ho Chi Minh City. This study highlighted the effect of using construction workers as peer educators and showed that this approach was more cost effective in terms of raising awareness of HIV/AIDS risk factors and the use of condoms than using health workers who periodically visited the construction sites. The study was conducted in collaboration with the Vietnam Labor Union, which has decided to scale up the peer education approach to other construction sites.

In Latin America, local investigators at the regional HIV/AIDS conference held in Havana, Cuba presented several Horizons studies. While Horizons did not sponsor these investigators to attend this conference, the venue did provide an opportunity to highlight the findings from HIV/AIDS studies completed by Horizons in the Latin American and Caribbean region.

In Africa, a number of dissemination events were held during the period. Uganda orphans study findings were presented in Kampala in November. This meeting was attended by participants from the Ministry of Health, Ministry of Gender, Youth and Social Development, local and international organizations, National Council for Children, and others including members of Women and Law in East Africa (WLEA). In March, findings from the Ndola (Zambia) youth anti-AIDS clubs study were presented; also in March, Horizons staff in South Africa organized a dissemination seminar on youth and care and support.

In addition to these dissemination events, Horizons staff and principal investigators have submitted 37 abstracts to the Nairobi ICAASA Conference and have made a number of other presentations at national HIV/AIDS meetings.

C. Information and Highlights from Partner Organizations

The Population Council's institutional partners are an integral part of the Horizons Program and essential to the implementation in geographically diverse settings of a balanced operations research on HIV/AIDS prevention, care, and support. Each partner plays an important role for Horizons and brings unique skills that no one partner alone could offer. Together, the partners have developed professional working relationships that rely on open communication and close collaboration. During the past six months, the major areas of work and collaboration of the Population Council's partners on the Horizons Program are discussed below.

The International AIDS Alliance approach is based on evidence that HIV/AIDS services and activities are particularly effective when carried out by local organizations which are guided and supported by local people but which, at the same time, are linked to a wider body of information, expert technical support and good practice on HIV/AIDS at the national, regional and global level. The Alliance aims to make a significant contribution to HIV prevention, AIDS care, and support to children affected by the epidemic, by working together with communities in developing countries, to promote the sustainability and scaling-up of effective community AIDS efforts, by building the capacity of CBOs, NGOs and NGO support programs, and to influence and improve the HIV/AIDS policies and programs of international agencies, donors and the international NGO sector, with a particular emphasis on the role of community action. The Alliance achieves this in partnership with locally based intermediary organizations. Since it was established, the Alliance has provided technical support to thousands of NGOs and CBOs, leading to prevention, care and impact mitigation responses in over 40 countries.

During the period of 1 October 2002 – 31 March 2003, the Alliance has contributed to Horizons primarily through its seconded staff person, Christopher Castle, and through the involvement of various Secretariat and country-level staff. A research proposal has been finalized and submitted for approval to USAID linked to a Gates-funded initiative called the Frontiers Prevention Project, that proposes to explore how HIV risk behaviors can be reduced by strengthening programs through community involvement. The study is proposed to take place in Andhra Pradesh, India. In addition to this proposal, Alliance staff are developing two additional concept papers, one looking at the effect of economic strengthening on work with orphans and vulnerable children in Burkina Faso, and another that examines community preparedness and support of ARV treatment access in Zambia.

The Alliance continues to support dissemination of Horizons study results, including the participation of Alliance India staff at a March 2003 dissemination event held in New Delhi to share findings of Horizons studies in India. The Alliance provided technical support and funding to Horizons study partner YRG CARE, one of the studies included

in the dissemination. The Alliance also provided financial support and widely disseminated a new book published by ITDG Publishing called "*Making an Impact in HIV/AIDS: NGO Experiences of Scaling Up*" based on the Horizons/Alliance seminar on scaling up HIV/AIDS responses held in Windsor, UK, in September 2001.

International Center for Research on Women (ICRW)

The Horizons Program benefits from two full-time staff persons seconded from ICRW: Ellen Weiss and Ann McCauley. Ellen Weiss is the Research Utilization Director for Horizons and is responsible for overall direction of the communications and dissemination team. Specifically, she is responsible for technical review of all publications, including research reports, study summaries, synthesis papers, and newsletter articles, and the implementation of strategies to foster the utilization of Horizons' research findings. Ann McCauley, Senior Program Officer, is responsible for developing and coordinating Horizons operations research studies on youth and liaising with USAID, other donors, contracting agencies, and NGOs on youth issues in order to keep Horizons up to date on priority areas and to disseminate Horizons findings.

During the reporting period, ICRW staff carried out a number of key activities, such as developing a research agenda on youth and HIV/AIDS for the new 5-year funding cycle, reviewing concept papers and providing substantive comments, providing technical support in developing data collection instruments and evaluation plans to research teams, representing Horizons at international meetings (e.g., Council on Foreign Relations expert meeting on HIV and education, USAID meeting on multi-sect oral interventions) and on technical advisory groups (e.g., Youth Net, Interagency Gender Working Group), and developing ideas for research and communication activities. ICRW staff also helped develop and review more than 30 abstracts submitted for presentation at the next ICASA conference, produced a paper on VCT and youth that was discussed at a WHO meeting on the topic, and commented on manuscripts for journals and other organizations.

Family Health International (FHI)

FHI has a history of successful collaboration with USAID and the Population Council in the area of economic evaluation of programs and services in Latin America, sub-Saharan Africa, Asia and the Near East. Drs. Barbara Janowitz, John Bratt, Rick Homan, and Ann Levin are leaders in the field of economic evaluation for family planning and HIV/AIDS. In October of 2001, Dr. Ann Levin was seconded to work full-time with Horizons. As a Partner in the Horizons Program, FHI conducts a range of economic evaluations, depending on the intervention being tested. These include: assessment of intervention costs, cost and revenue analyses for sustainability (including willingness-to-pay and household income and expenditure surveys), estimation of the unit cost of services or persons reached, and cost-effectiveness studies.

During the period of October 2002 to March 2003, FHI was instrumental in designing economic evaluations for two Horizons studies: 1) the introduction of ARVs in Mombasa, Kenya and 2) an assessment of the impact of formal caregiver programs in South Africa. The first study will assess the incremental cost of introducing ARVs in

four health facilities in Mombasa, the cost-effectiveness of alternative approaches to improving adherence to drug regimens, and the economic impact of the introduction of ARVs on PLHA households. The second study will investigate how formal caregiver programs can complement services provided by family and household members to PLHA and how the burden on the household can be relieved, in part through receiving services from formal caregivers.

In addition, FHI completed analysis of the cost of alternative approaches to providing HIV/AIDS education to mobile construction workers in Vietnam. Preliminary results of this study were presented in a national dissemination meeting held in Vietnam in December 2002. As a result of this meeting, the Government decided to scale-up the use of on-site peer educators. The study analysis has since been finalized and a section on the results has been included as part of a final report of the study. This study will be featured in the Annual Report of the Population Council.

Program for Appropriate Technology in Health (PATH)

PATH has been a leader in reproductive health programming since 1977. Over the past 15 years, PATH has collaborated on HIV and AIDS and STI prevention and care projects in more than 35 countries in Africa, Asia and the Americas. PATH's three strategic focus areas for HIV and AIDS are promoting healthy behaviors, strengthening strategic alliances and advocacy, and developing and increasing access to key technologies. PATH advocates client-centered programs, appropriate to the age, culture, and gender-related realities of beneficiaries. PATH provides leadership and technical support for Horizons behavior change communication (BCC) projects through collaboration in strategic planning and program development, and review of HIV and AIDS- related behavior change communication interventions, tools, curricula, and related materials. PATH also provides leadership in HIV and AIDS prevention programs that focus on adolescents, working with men in reproductive and sexual health, and integration of family planning within HIV and AIDS programs.

PATH has seconded two full-time staff members: Dr. Julie Pulerwitz (Washington, DC office) and Dr Karusa Kiragu, based in Nairobi. Over the six-month period covered by this report, PATH has provided technical support to the Horizons program in the design and development of behavioral and social science research directed at the prevention and mitigation of HIV and AIDS. PATH staff developed new Horizons studies, such as a proposal entitled: "Addressing the HIV Prevention, Testing and Treatment Needs of Mobile Populations: Focus on Truck Drivers in Brazil" that was approved December 2002; and a concept paper on "Caring for the Caregivers: Responding to the HIV/AIDS Needs of Health Care Workers in Zambia" that was approved in January 2003 to be developed into a full proposal. PATH staff have collaborated in the development of concept ideas and proposals in several areas, including a major OR proposal "Promoting Stigma Reduction, Gender Equity, and Collective Efficacy for HIV Prevention via Communication for Social Change Programs in Nicaragua" as well as an initiative related to training OB/GYNs to provide improved voluntary counseling and training (VCT) and psychosocial support for HIV+ pregnant women in Ukraine.

In addition to the above activities, PATH staff has contributed to a Horizons paper that elaborates a framework and provides case studies of BCC interventions within Horizons OR projects. PATH contributions to this paper were coordinated with a global BCC workshop in Nairobi, Kenya at the end of September 2002. The workshop provided a forum for sharing PATH strategies for BCC and ensured that the paper had the benefit of a cross-regional assessment of best practices for BCC in HIV and AIDS.

Johns Hopkins University

Faculty from the JHU Bloomberg School of Public Health, Department of International Health provides technical services to the Population Council's Horizons program. Key faculty includes Drs. Michael Sweat, Deanna Kerrigan, and Suzanne Maman, all of whom are in the Social and Behavioral Interventions Program. HIV prevention research is the main area of research and teaching for participating faculty. Key areas of expertise include HIV voluntary counseling and testing, cost-effectiveness analysis of HIV behavioral interventions, behavioral aspects of HIV prevention of mother to child transmission programs, HIV and violence, structural and environmental interventions, and the impact of HIV/AIDS treatment on risk behavior.

During the past six-months (October 2002 through March 2003) the Johns Hopkins University collaboration has contributed to the Horizons program in several important ways. Various faculty and staff have participated in planning, consulted with Horizons staff on the development of research projects, and provided in-service training at Horizons. Three new projects were also developed in collaboration with Horizons, and approved for funding. These include: (1) a systematic review of HIV behavioral preventions, also in collaboration with the WHO, (2) a study to examine the HIV VCT among youth in Zambia, and (3) a study on integrating primary prevention and access to treatment, care and support for people living with HIV in Brazil.

Additionally, the Johns Hopkins team completed the efficacy trial of the 100% condom intervention in the Dominican Republic. As a result, analysis of data, and the final report were completed, including a cost-effectiveness analysis that was conducted in close collaboration with our Dominican colleagues. Two papers, one on the main study, and one on the cost-effectiveness analysis, have also been completed. These are now under review with Horizons, and our Dominican colleagues.

Tulane University

The Tulane University Department of International Health and Development has technical depth and a strong record in the areas of applied research, monitoring and evaluation, and information systems in HIV/AIDS, reproductive and adolescent health. Tulane also has experience in research and health economics, professional and scientific credibility in evaluating both reproductive health and health financing programs, and methodological expertise in evaluating program impact. Tulane staff plays a key role in the success of Horizons in a number of ways. In addition to reviewing proposals and participating in working groups and Partners' meetings, Tulane is committed to develop and implement new operations research studies in a number of areas, including stigma and discrimination and mitigation of the orphan crisis. This year, Tulane staff will devote time to conducting follow-up analysis and article writing on

Horizons I studies (Transitions and YRG Care in particular). Tulane will continue its activities to monitor and evaluate Horizons studies.

During the six-month period covered by this report, Tulane's contribution to Horizons included follow-up work on previous Horizons studies and development of new studies, as follows: 1) Tulane revised the final report for the Transitions Study Lifeskills impact analysis and submitted it to Horizons for external review. Results of this analysis were presented at the RH Priorities conference in South Africa. 2) Tulane staff analyzed partnership patterns among youth to present at the PAA conference and did a comparative analysis of the Transitions and School-based studies (both conducted in KwaZulu Natal). 3) For the YRG CARE Study (India), the final report on quality of life and disclosure were revised and submitted to partners for review. 4) Finally, Tulane developed the evaluation protocol for the Reproductive Tract Infection Program Guidance Tool project in all four countries (Brazil, Thailand, Cambodia, and Latvia).

New studies: 1) Tulane developed and submitted for review a proposal entitled "Assessing the psychosocial benefits of a community-based home visitation program for orphans and vulnerable children in Rwanda." The final version was prepared following receipt of reviewers' comments. 2) The concept paper addressing AIDS-related stigma in South Africa was revised with local counterparts. 3) Finally, a concept paper for a new study on stigma in Kenya with Amkeni was initiated with partners.

In addition to its six institutional partners, Horizons collaborates with numerous agencies and organizations in countries where studies are implemented. These include non-governmental organizations as well as government agencies, ranging from community-based entities and faith-based organizations to multilateral organizations, in both the commercial and private sector. (See following two pages for a comprehensive list.)

Collaborating Entities

Global	Family Health International/IMPACT Intl Center for Research on Women (ICRW) International HIV/AIDS Alliance Johns Hopkins University Population Council/Frontiers Prog & partners Prog for Appropriate Tech in Health (PATH) Tulane University U.S. Agency for Int'l Development (USAID)	Cambodian Researchers for Development Khemara Medecins Sans Frontières Ministry of Health National AIDS Program
Multilateral	Joint UN Prog on HIV/AIDS (UNAIDS) UN Intl Children's Emer Fund (UNICEF) World Health Organization (WHO)	
Africa Region	Network of AIDS Researchers in E & So Africa Regional AIDS Training Network	
Brazil	National AIDS Program Instituto Promundo Ministry of Health	Oswaldo Cruz Foundation - FIOCRUZ Programa Integrado de Marginalidade Sociedade de Estudos E Pesquisas em Drogadicção (SEPED)
Burkina Faso	Global Network of People Living with HIV/AIDS (GNP+) Initiative Privée Communautaire Ministry of Health: Family Health Division; Community Health Lab	Ministry of Health Division: Mwanza Action Univ of Ouagadougou: Cellule de Recherche en Santé de la Reproduction (CRESAR)
Jamaica		Ministry of Health
Camodia		
Kenya	African Pop & Health Research Cntr (APHRC) CDC - Family Planning & Logistics Mgt (FPLM) Coast General Hospital, Mombasa Family Health International/IMPACT International Centre for Reproductive Health Ministry of Health: Kenyatta Natl Hospital's Adol Counseling Clinic; Prov Health Authorities Management Sciences for Health – Rationnal Pharmaceutical Management Natl AIDS & STD Control Programme (NASCOP) University of Nairobi	
Dominican Republic	Centro de Orientacion y Investigacion Integral Centro de Promocion y Solidaridad Humana Instituto Dermatologico y Cirugia de Piel Johns Hopkins University Programa de Control de Enfermedades de Transmision Sexual	
Ecuador	Instituto Juan Cesar Garciaa Kimirina	
Ghana	Ministry of Health: Natl AIDS Program; Health Research Unit (HRU); Family Health Division Planned Parenthood Assoc of Ghana (PPAG)	
India	Asia Karana FHI's Health Service Delivery Unit Institute of Economic Growth International HIV/AIDS Alliance Ministry of Health: Natl AIDS Control Org National Council of Applied Economic Research SHADOWS Shelter	
Madagascar	Abbott Laboratories Family Health International Filambanan'ny Vehivavy Mpikakatra An Tsambon Antsiranana (FIVMATA) Ministry of Health University of North Carolina	
Mexico	Instituto Mexicano de Investigación Familiar Y Población (IMIFAP) National Institute of Public Health	
Nepal	Asia Foundation	
Nicaragua	National Autonomous University of Nicaragua (UNAN) Puntos de Encuentro	
Nigeria	Assoc for Reproductive and Family Health	

Collaborating Entities, cont'd.

Rwanda	Rwanda School of Public Health World Vision Rwanda	Tanzania	Muhimbili College of Health Sciences (MUCHS) Muhimbili Medical Centre Univ of Dar es Salaam: Drama Dept; Center for Adolescent Health
Senegal	Africa Consultants International (ACI) African AIDS Research Network (RARS) Alliance Nationale de Lutte contre le SIDA (ANCS) Center for Ambulatory Care (CTA) Centre Regional "Paul Corea" de Formation (CERFORMS) Ministry of Health: STI/AIDS Division National AIDS Control Program Natl Assoc for the Fight Against AIDS (ANCS) Natl Council for the Fight Against AIDS (CNLS) Organisation Pan Africaine de Lutte contre le SIDA au Senegal (OPALS) Programme Nationale de Lutte Contre le SIDA (PNLS) Université Cheikh Anta Diop, Institut des Sciences de l'Environnement (ISE)	Thailand	Chiang Mai University: Community Medicine Dept, Faculty of Medicine Intl Network of Sex Work Projects (NSWP) Lampang Provincial Health Office Ministry of Education Ministry of Public Health: Center for Disease Control, Region 10 Prog for Appropriate Tech in Health (PATH) Thailand Business Coalition on AIDS
South Africa	Anglo American Corporation Council for Scientific and Industrial Research Department of Education Department of Health: Kwa-Zulu Natal Prov Health Dept, Mpumalanga Prov Health Dept Dev Research Africa (DRA Development) ESKOM Gold Fields, Ltd. Human Services Research Council (HSRC) Living in Hope London School of Economics Medical Research Council National Union of Mineworkers Nelson Mandela Children's Fund Population Council - Frontiers Progressus Reproductive Health Research Unit So African Inst for Medical Research (SAIMR) Southern Africa AIDS Information Dissemination Service (SAfAIDS) University of Natal - Durban Young Men's Christian Association (YMCA)	Vietnam	Consultation of Investment in Health Promotion Ford Foundation Ho Chi Minh City Labor Union Ho Chi Minh National University College of Social Sciences and Humanities Ho Chi Minh Standing AIDS Bureau Population and Development International
Zambia	CARE International Family Health Trust Hope Human/DAPP Japan International Cooperation Society (JICA) Linkages Project (AED) Ministry of Health: Leprosy and STDs Surveillance Programme; Ndola District Health Management Team National AIDS Control Programme National Food and Nutrition Council Univ of Zambia: Dept of Psychology; Tropical Diseases Research Center Zambia HIV MTC T Working Group Zambia Integrated Health Project	Zambia	CARE International Family Health Trust Hope Human/DAPP Japan International Cooperation Society (JICA) Linkages Project (AED) Ministry of Health: Leprosy and STDs Surveillance Programme; Ndola District Health Management Team National AIDS Control Programme National Food and Nutrition Council Univ of Zambia: Dept of Psychology; Tropical Diseases Research Center Zambia HIV MTC T Working Group Zambia Integrated Health Project
USA	Academy for Educational Development (AED) Center for Disease Control and Prevention Ford Foundation Management Sciences for Health Population Services International/AIDSMark Save the Children The Futures Group University of Alabama - Birmingham University of California, San Francisco: Center for AIDS Prevention Studies (CAPS) University of Hawaii: East-West Center University of Texas – Galveston	Zimbabwe	Management Systems International Ministry of Health Policy and Praxis Programme Support Group Project Support Group Target Research Ltd. Univ of Zimbabwe: Cntr for Pop Studies Trust; Depts of Psychology and Community Medicine Zambuku Trust

II. PERFORMANCE REVIEW

A. List of Technical and Analytical Documents and Presentations (October 1, 2002-March 30, 2003)

PUBLICATIONS:

Bao, VN, P. Guest, J. Pulerwitz, LTL. Thao, DX. Dinh, TTK. Xuyen. *Expanding HIV/AIDS Prevention Activities for Highly Mobile Populations: Construction Workers in Ho Chi Minh City.* Horizons Research Update. December, 2002.

Behets MTF., JR Rasolofomanana, K. van Damme, G. Vaovola, J. Andriamiadana, A. Ranaivo, K. McClamroch, G. Dallabetta, J. van Dam, D. Rasamilalao, A. Rasamindra and the MAD-STI Working Group. "Evidence-based treatment guidelines for sexually transmitted infections developed with and for female sex workers." *Tropical Medicine and International Health* 2003, 8(3): 251-258

Brown, Lisanne, Kate Macintyre and Lea Trujillo. 2003. "Interventions to Reduce HIV/AIDS Stigma: What have we learned?" *AIDS Education and Prevention*, 15(1), 49-69

Castle, Chris. 2003. Foreword to *Making an Impact in HIV and AIDS: NGO Experiences of Scaling Up*, by Author of forward for book entitled *Making an Impact in HIV and AIDS: NGO Experiences of Scaling Up*, Jocelyn DeJong. London: ITDG Publishing.

Dadian, Margaret, ed. 2002. *The Horizons Report*. December 2002 (English and Hindi versions).

Dadian, Margaret. 2003. "Vietnamese Construction Workers Listen to their Peers" *Global AIDSLink*, Issue Number 79, May 2003.

Hope Humana, LINKAGES, National Food and Nutrition Commission, Ndola District Health Management Team, Horizons Program, Zambia Integrated Health Project. 2002. *Ndola Demonstration Project: A Midterm Analysis of Lessons Learned*. Washington: Population Council.

Horizons/Population Council (New Delhi and Washington, DC), Society for Service to Urban Poverty (SHARAN). 2003. *PLHA-friendly Achievement Checklist: A Self-assessment Tool for Hospitals and Other Medical Institutions Caring for People Living with HIV/AIDS (PLHA)*. Washington: Population Council.

Horizons Program. 2003. "Reaching Secondary School Students with Life Skills: Principals Report Dramatic Expansion." Research Summary.

Pulerwitz, J, Amaro, H, DeJong, W, Gortmaker, S, Rudd, R. *Relationship Power, Condom Use, and HIV Risk Among Women in the US*. AIDS Care. Vol. 14, No. 6, pp. 789-800, December, 2002.

Rumakom, Patchara, Pramote Prasartkul, Philip Guest, Varachai Thongthai, Sureeporn Punpuing. "Changes in the epidemiological transition in Thailand due to HIV/AIDS: Implications for population and health policies." *Journal of Population and Social Studies*, Vol. 11, No 2, Jan 2003.

- Rutenberg, Naomi, Carol E. Kaufman, Kate Macintyre, Lisanne Brown, and Ali Karim. "Pregnant or Positive: Adolescent Childbearing and HIV Risk in South Africa," *Policy Research Division Working Paper*, Population Council, December, 2002.
- Sartsara, Srisuman, Simon Baker, Patchara Rumakom, Philip Guest, et al. "The results of motivating the private sector in participating in a HIV/AIDS workplace program." *The 2002 Thai National Symposium on Population Studies*. Bangkok: 317-347 (2002).
- Schenk, Katie. "Breastfeeding Education Helps PMTCT." *AIDSLink*, Issue Number 78, February 2003.

PRESENTATIONS:

October 2002

Impact of Peer Educators versus Visiting Health Educators on HIV Knowledge and Risk Behavior of Migrant Construction Workers in Ho Chi Minh City by Philip Guest at the 6th Asian and Pacific Social Science and Medicine Conference, Kunming, China, October 16-18, 2002.

Strengths and Limitations of Quantitative Approaches to Studying Sexuality (plenary session) by Philip Guest at the 6th Asian and Pacific Social Science and Medicine Conference, Kunming, China, October 16-18, 2002.

Challenges to Community Mobilization Strategies Among Debt-bonded Female Sex Workers by Un Saron, Ly Saranda, Hom Em Xakha, Un Samon and Simon Baker at the 2nd National AIDS Conference, Phnom Penh, October 1, 2002.

Validating youth responses to UNAIDS evaluation tool for VCT services by Ann McCauley (Horizons/ICRW) at the 3rd National AIDS Conference and 1st HIV/AIDS Partnership Forum; Learning from Communities for Greater Success, Kampala, Uganda, October 28-30, 2002,

Addressing Family Planning in PMTCT Programs by Naomi Rutenberg at the USAID FP/HIV Integration Working Group, October 1, 2002.

HIV/AIDS Programs in Humanitarian Crises by Naomi Rutenberg, roundtable on the Demography of Forced Migration at the Committee on Population, National Academy of Sciences, October 24, 2002.

Scaling-Up NGO Efforts on HIV/AIDS by Chris Castle (Horizons/International HIV/AIDS Alliance) at Tulane University, October 7, 2002.

Beyond Health Promotion: Making Sex Work Safe by Chris Castle at Tulane University, October 7, 2002.

Development of Indicators for Complex Social Variables: Focus on Gender Norms by Julie Pulerwitz (Horizons/PATH), presented and facilitated training session on developing indicators for complex social constructs at the PATH BCC meeting in Kenya, October 2002.

Promoting Gender-Equitable Relationships to Reduce HIV Risk: Development of the Gender-Equitable Men Scale by Julie Pulerwitz and Gary Barker at USAID, October 2002.

The Mira Newako Project: Involving Men in Maternal and Child Health to Reduce HIV in Zimbabwe by Julie Pulerwitz, panel on Involving Men in SRH Programming: Emerging Best Practices from Africa, Asia, Latin America, the Middle East, and Eurasia, October 2003.

A Critical Evaluation of the Syndromic Approach to STD Case Management by Johannes van Dam, keynote address at the 26th National Conference of the IASSTD & AIDS, New Delhi, India, October 19, 2002.

Management of STI in Public and Private Services by Lewis Ndhlovu at the 8th Reproductive Health Priorities Conference, KwaZulu Natal, South Africa, October 1-4, 2002.

Involving young people in the care of PLHA in Zambia: Bridging the gap in community Care by Eka Williams at the 8th Reproductive Health Priorities Conference, KwaZulu Natal, South Africa, October 1-4, 2002.

Building the capacity of young people to care for PLHA and OVC by Joseph Motsepe at the 8th Reproductive Health Priorities Conference, KwaZulu Natal, South Africa, October 1-4, 2002.

Representing the politics of HIV/AIDS: South African Press Coverage of the debate over the cause of AIDS (Non Horizons study) by Catherine Searle at the the 8th Reproductive Health Priorities Conference, KwaZulu Natal, South Africa, October 1-4, 2002 (poster presentation).

Trainer in Integrated Community Activities and Community Health Development in Germiston Region: Sam Kalibala at Living in Hope, October 2002.

Impact of Lifeskills on sexual behavior among youth in KwaZulu-Natal by Kate Macintyre (Horizons/Tulane) at the RH Priorities conference in South Africa, October 3-5, 2002.

November 2002

Strategic Assessment of Reproductive Health in Yunnan Province, China by Philip Guest at the WHO Meeting on 'Strategic Approach to Improving the Quality of Care of Reproductive Health Services', Geneva, November 18-22, 2002.

Remaining Single: A Continuing Trend in Thailand by Philip Guest at The 2002 Thai National Symposium on Population Studies, Bangkok, November 21-22, 2002.

Delaying first sex: What is important family or school connectedness? by Simon Baker, Srisuman Sartsara, Philip Guest, Rumakom Patchara, and Ann McCauley at the 2002 Thai National Symposium on Population Studies, Bangkok, November 21-22, 2002.

The results of motivating the private sector in participating in a HIV/AIDS workplace program by Srisuman Sartsara, Simon Baker, Patchara Rumakom, Philip Guest, Anthony Pramualratana, Surachai Panakijsuwan, Suparat Suksakulwat and Sikarat Moonmuang at the 2002 Thai National Symposium on Population Studies, Bangkok, November 21-22, 2002.

"Traditional" Gender Norms Associated with Partner Violence and HIV Risk by Julie Pulerwitz, G. Barker, M. Nascimento, and F. Acosta, paper presented at the American Public Health Association Conference. November, 2002.

Sexuality Research Methods by Julie Pulerwitz, guest lecturer at Johns Hopkins Bloomberg School of Public Health. November, 2002.

Media Appearance: Invited guest on one hour live Q & A show on HIV-related stigma and discrimination, by Julie Pulerwitz, coordinated by the American Embassy Broadcast Network. Live panels from Rwanda and Haiti. Broadcast to over 130 countries.

Implication of the rapid situation analysis for improving the provision of HAART to people living with HIV/AIDS by MOPH access to care project in Northern Thailand by Patchara Rumakom in Bangkok, 12 November 2003 and Chiang Mai, January 24, 2003.

How much does it cost to prevent Mother-to-Child Transmission (PMTCT) of HIV in Zambia? by Ann Levin, poster presented at the American Public Health Association Conference. November, 2002.

Interviewed by Mexico Institute for Public Health - for guidance for UNFPA Agenda on HIV/AIDS in LAC by Julie Pulerwitz, November 2002.

Challenges and opportunities for rebuilding lives of trafficked persons in the community - lessons learned from Nepal by Vaishali Mahendra at the "Partners in Change" meeting organized by Global Alliance Against Traffic in Women, Bangkok, Thailand, November 6-9, 2002.

Counter Trafficking Thematic Exercise, by Vaishali Mahendra, member of Expert Level Committee at the workshop organized by International Organization of Migration, Dhaka, Bangladesh, November 25-26, 2002.

December 2002

Motivation and Performance of Peer Educators and Health Communicators and Cost Analysis of Peer Education and Health Communication Programs by Julie Pulerwitz, Philip Guest, and Dr. Bao at the final dissemination workshop for the Horizons study "Expanding HIV/AIDS Prevention Activities for Highly Mobile Populations: Construction Workers in Vietnam," HCMC, Vietnam, December, 2002.

Involvement of People Living with HIV/AIDS in NGO Service Delivery: Findings from a Four-country Study, by Ellen Weiss (Horizons/ICRW), Youth Involvement Meeting, YouthNet, December 3, 2002.

January 2003

NMCF Goelama Project Strengthened Household Baseline Findings by Nqobile Mavimbela at the Nelson Mandela Children's Fund Goelama partners workshop, South Africa, January 28, 2003.

NMCF Goelama Project by Nqobile Mavimbela at the NMCF Goelama partners workshop, South Africa, January 28, 2003.

February 2003

Gender, Power, and HIV Risk Research by Julie Pulerwitz at Grand Rounds at HIV Center at Columbia University, New York City, February 2003.

Gender Norms and HIV risk by Julie Pulerwitz at the Society for International Development/Women in Development meeting, Washington, DC, February 2003.

Media Appearance: Half hour radio show interview with WCBS FM on Horizons Program and HIV/AIDS by Andy Fisher on February 5, 2003.

Uganda VCT Policy Guidelines: Overview of draft zero by Sam Kalibala at the National Consensus Meeting on VCT Policies, Kampala, Uganda, February 2003.

National Workshop on Greater Involvement of People Living with HIV/AIDS, organized by Family Health International, Horizons/Population Council, and India Network of Positive People, New Delhi, India, February 2003.

March 2003

Revisit eight years of Thai MOPH behavioral sentinel surveillance tool and next steps by Patchara Rumakom at the Thai HIV/AIDS surveillance system meeting, Ayuthaya, March 20, 2003.

Findings and Recommendations from the Scaling Up Care and Support Study, presentation prepared by Chris Castle, Horizons/International HIV/AIDS Alliance, delivered by Dr. Suniti Solomon at dissemination events in Chennai and New Delhi, India, March 27 and 28, 2003.

Horizons Program, Operations Research and HIV/AIDS by Andy Fisher at the Johns Hopkins School of Public Health, Baltimore, March 10, 2003.

Introduction of ART in Comprehensive HIV/AIDS Care in Mombasa, Kenya by Johannes van Dam, presentation at USAID, Washington, March 20, 2003.

National Dissemination Workshop on Ndola Demonstration Project, presentation by Sam Kalibala, 7 Lusaka, Zambia, March 2003.

Uganda VCT Implementation Guidelines: Overview of draft zero by Sam Kalibala at the National Consensus Meeting on VCT Implementation, Kampala, Uganda, March 2003.

What Works in HIV/AIDS Care and Support? by Vaishali Mahendra in New Delhi, March 28, 2003.

B. Analytic Summary of Results Achieved by SS04 Intermediate Result

IR 4.1. REDUCTION OF SEXUAL RISK: Increased quality, availability, and demand for information and services to change sexual risk behaviors and cultural norms in order to reduce transmission of HIV

Location	Activity Name / Hz ID / Effective Dates / Monitor	Expected Project Results	Results Achieved in Period Oct. 1, 2002 – Mar. 31, 2003
Global	Research Assistance on Paper Reviewing Horizons Abstinence Data Hz #245 1/6/2003 - 9/30/2003 Ann McCauley	Review the data from Horizons' studies in schools in Mexico, Thailand, and South Africa to look at how the courses presented abstinence, being faithful, and condom use to students and the results of the program on these indicators. This project will reduce barriers to information and services for youth.	Scope of work prepared, USAID approval obtained, initial choice of indicators determined.
Brazil	Addressing the HIV Prevention, Testing and Treatment Needs of Mobile Populations: Focus on Truck Drivers in Brazil Hz #223 1/1/2003 - 10/31/2005 Julie Pulterwitz, Johannes van Dam	Evaluate continuum of care approach - including prevention, testing, and treatment - for migrant truckers. Prevention focused on holistic strategy to promote health "on the road."	Proposal developed and approved. Baseline survey developed and tested. IEC materials and main messages developed and tested.
Brazil	Promoting More Gender-Equitable Norms and Behavior Among Young Men as a Strategy for HIV/AIDS Prevention Hz #201 3/1/2002 - 4/30/2004 Julie Pulterwitz	Evaluate different approaches to reducing HIV/AIDS risk of young men and their partners via activities to promote equitable gender norms and behaviors; develop a scale to measure equitable gender norms.	Baseline survey and qualitative research protocols administered and preliminary analysis completed. Intervention begun in 2 of 3 sites. Presentation on study given at the 2002 American Public Health Association Conference, and the Society for International Development.
Nigeria	Promoting Dual Protection Practices Among Women and Their Male Partners in Ibadan, Nigeria Hz #59 7/1/1999 - 10/31/2002 Ellen Weiss	Identify clinic and community-based approaches that result in increased protection against STDs and unwanted pregnancy among family planning clients, thereby contributing to reducing adult and perinatal HIV transmission	An initial final report has been submitted and comments given. The report is being revised and then will undergo peer review.

Location	Activity Name / Hz ID / Effective Dates / Monitor	Expected Project Results	Results Achieved in Period Oct. 1, 2002 – Mar. 31, 2003
Senegal	Responding to the Sexual Health Needs of Men in Dakar, Senegal Hz #225 2/01/2003 – 8/31/2005 Chris Castle, Placide Tapsoba	Study the impact and usage of newly developed, more accessible services aimed at reducing the incidence of HIV/STIs, and the effect of efforts to sensitize health providers who deliver services to men. This study follows on the earlier diagnostic study conducted by Horizons in Dakar.	Proposal was approved in December 2002. Collaborative working relationships were established with the Senegal National AIDS Program, the USAID Mission, the FHI Country Office, and other local partners including the ANCS and ACI (consultants). Initial work has begun on instrument development.
South Africa	Programming for HIV prevention in South African Schools Hz #44 4/1/2000 - 5/31/2003 Ann McCauley, Lewis Ndhlouw	Identify effective school-based approaches to prevent or reduce STI and HIV transmission among in-school youth. This project will reduce barriers to key information and service for youth.	Analysis indicates significant changes in adoption of safe behaviors among sexually active youth but no increase in sexual activity among abstinent youth. Draft of final report prepared. Results dissemination through papers presented at South Africa meetings.
Thailand	Programming for HIV Prevention in Thai Schools Hz #17 5/1/1999 - 12/31/2002 Patchara Rumakom, Simon Baker	Identify effective school-based approaches to prevent or reduce STI and HIV transmission among in-school youth. This project will reduce key information and service barriers for youth.	The final report has been written and sent to Horizons for editing. An oral presentation was made at the Thai Population Association Symposium and one abstract was sent to the 7th ICAAP conference.
Uganda	VCT Integration into Health Services Hz #78 9/1/1999 - 6/30/2003 Sam Kalibala	This study will identify best practices for integrating VCT into primary health care services and thus lead to a reduction in risk behaviors and HIV infection and an increase in use of health care by PLHAs.	Further review of the final report was carried out and the report was submitted for external review and preparation for publication. Assisted MOH in Uganda to develop National VCT policies based on insights from this study as well as other studies.

Location	Activity Name / Hz ID / Effective Dates / Monitor	Expected Project Results	Results Achieved in Period Oct. 1, 2002 – Mar. 31, 2003
Uganda	VCT Among Youth: Phase II Operations Research Hz #88 10/1/2000 - 8/31/2003 Ann McCauley, Milka Juma	Assess feasibility and acceptability of providing VCT to youth and relative effectiveness of VCT in increasing adoption of safe behaviors by young people. This project will reduce barriers to information and services for youth.	Considerable increase in number of youth coming for VCT services in both clinics. Three oral presentations and two posters presented at the International AIDS Conference in Barcelona. Papers presented at RHRU research conference in South Africa, Ugandan National HIV/AIDS conference, and Nairobi conference on practitioners.
Zambia	Community Integrated VCT and MCH Project (Ndola) Hz #91 4/1/1999 - 6/30/2003 Sam Kalibala, Naomi Rutenberg	Understanding the feasibility and impact of delivering linked services of VCT, infant feeding, counseling and referral to women in the antenatal period. This study will increase the use of VCT, access to infant feeding counseling, and community based HIV/MCH services and referral. As a result, vertical transmission of HIV will be reduced and infants' health and survival will be improved.	Mid-term report printed and disseminated. Final data interpretation workshop held. National and local dissemination of final outcome data carried out. Writing of final report commenced.

IR 4.2. IMPROVED STI SERVICES: Enhanced quality, availability, and demand for STI prevention and management services

Location	Activity Name / Hz ID / Effective Dates / Monitor	Expected Project Results	Results Achieved in Period Oct. 1, 2002 - Mar. 31, 2003
Global: Brazil, Cambodia, Ghana, Latvia Hz #51 12/1/1998 - 6/30/2004 Johannes van Dam	Addressing the Problem of Reproductive Tract Infection: Developing a Program Guidance Tool	Develop, implement, and evaluate a process to enable decision-makers to prioritize interventions for sexually transmitted and other reproductive tract infections. This study will lead to more effective, appropriate and cost-effective approaches to STI service delivery in countries all over the world.	Implementation of recommended priority activities is ongoing in Latvia and Cambodia, with the evaluation component in place. Evaluation was completed in Cambodia. Implementation of Phase I recommendations in Ghana will start in the second quarter of 2003. A new stakeholders meeting in Brazil resulted in revised recommendations, which are expected to be implemented starting early 2003. A process evaluation is currently being conducted in Latvia, Ghana and Brazil. PIs, WHO and Horizons staff will meet early July 2003 to finalize the evaluation report. A dissemination seminar will be held at the World STD/AIDS congress in Uruguay in December 2003.
Regional (Southern Africa: Zimbabwe, Hz #15 Zambia, and So. Africa) Kenya	Target vs. General Population Interventions for STD/HIV Prevention Hz #15 1/1/1999 - 3/31/2003 Lewis Ndhlovu, Johannes van Dam Africa)	Demonstration that the control of curable STDs is operationally feasible by focusing more intensive efforts on "core groups" with high rates of partner change. This study will lead to more effective STI/HIV prevention programs.	Data collection has been completed for the three countries. Laboratory analysis of biological specimens will be completed by May 2003. Final analysis and report writing planned for May 2003.

Location	Activity Name / Hz ID / Effective Dates / Monitor	Expected Project Results	Results Achieved in Period Oct. 1, 2002 - Mar. 31, 2003
South Africa Horizons #26 12/98 – 01/03 Johannes van Dam Lewis Ndhlovu	Successful strategies for comprehensive (BCI, STI services, condom promotion and periodic presumptive treatment) HIV and STD prevention and control interventions among industrial populations. This study will lead to more effective STI prevention and care programs, thus reducing HIV incidence.	Final data analysis ongoing. A data interpretation workshop is planned for May 2003, followed by final report writing and submission of publications.	
Nested Descriptive and Exploratory studies in the Context of the Carletonville STD/HIV Project Hz #102 10/1/1999 - 6/1/2003 Johannes van Dam, Lewis Ndhlovu	Better understanding of determinants of the epidemic, leading to specific interventions and intervention research. These studies will contribute to the formulation of specific interventions to address these issues, thus strengthening HIV prevention programs.	Study completed, final report being prepared. Community dissemination took place in first quarter of 2003.	
Prevention of Mother-to-Child Transmission Hz #84 5/1/2000 - 5/31/2003 Naomi Rutenberg, Sam Kalibala	Document experience in implementing a package of services designed to prevent mother to child transmission (PMCT) of HIV and development of a best practice. This study will identify best practices in the prevention of mother to child transmission and contribute to a reduction in the vertical transmission of HIV.	Completed data collection. Prepared presentations for national dissemination seminar.	

IR 4.3. REDUCTION OF CONTEXTUAL RESTRAINTS: Improved knowledge about, and capacity to address, the key policy, cultural, financial, and other contextual constraints to preventing and mitigating the impact of HIV/AIDS

Location	Activity Name / Hz ID / Effective Dates / Monitor	Expected Project Results	Results Achieved in Period Oct. 1, 2002 – Mar. 31, 2003
Global	Expanding Horizons' Communication and Dissemination Outreach	Increase awareness and utilization of Horizons research findings into program and policy development.	Production and dissemination of research reports and summaries, articles in Horizons and other organizational newsletters, papers in peer-review journals, and face-to-face forums with key constituencies to discuss the research results.
Global	Review of the Literature on HIV/AIDS and Provision of Home-Based Care	Inform the development of sustainable, gender-sensitive and cost-effective responses to the provision of care for people affected by HIV/AIDS or living with HIV/AIDS in their households and communities.	Developed an outline for the literature review, held discussions with key informants about what the paper should include, and collected relevant materials.
Global	Cooperative Project with WHO to Produce a Review of VCT Programs for Youth	Review the evidence available on the use of VCT among youth and barriers to increased use. In collaboration with WHO, prepare guidelines for national governments on providing VCT for youth. Reduce barriers to information and services for youth.	Draft prepared and reviewed by experts from international organizations. Meeting held with Youth Net and USAID on current status of VCT programs for youth, research needs, and possible evaluation sites.
Brazil	Sex Work and Community Development: Phase II	Better understanding of the role of community development approaches in ensuring the effectiveness and sustainability of interventions to reduce HIV transmission in sex work situations. This study will help program implementers by identifying effective approaches for strengthening interventions for sex workers and their clients.	The final round of data collection was completed in December 2002, and preliminary analysis was undertaken in February 2003. Results were reviewed and discussed at a data interpretation meeting with the local research team and implementing partner in Rio in March 2003, with participation of key stakeholders, including a representative of USAID in Brazil. Two abstracts were submitted and accepted for presentation at the regional Latin American AIDS conference in Cuba in April 2003.

Location	Activity Name / Hz ID / Effective Dates / Monitor	Expected Project Results	Results Achieved in Period Oct. 1, 2002 - Mar. 31, 2003
Cambodia	Building Community Identity Among Debt-Bonded Sex Workers in Cambodia: An HIV Prevention Strategy	Evaluate ways to reduce the spread of HIV to the general population by preventing transmission of HIV infection as much as possible between sex workers and their clients. Document the need for government and NGOs to address underlying social and economic conditions (e.g. through community development) to enable the women to seek different work.	Final report completed and currently under editorial review. An oral presentation was made at the second Cambodian National AIDS conference (in Khmer) October 1, 2002 and an oral presentation was made at the 6th Asian and Pacific Social Science and Medicine Conference, Kunming, China, 16-18 October 2002.
India	Improving the Hospital Environment for HIV Positive Clients in India	Improved understanding of policies, circumstances, values and perceptions that contribute to hospital-based stigma and discrimination against PLHA; Replicable intervention for improving care; Indicators to measure hospital-based stigma and discrimination; Indicators to assess positive and appropriate care for PLHA in hospital setting; Achievement checklist for "PLHA-friendly" hospital. This will lead to better understand factors that lead to stigma and discrimination against PLHA in hospital settings, and to developing broadly applicable recommendations and guidelines for hospitals to provide equitable and comprehensive care to PLHA as well as maximize staff safety.	Focus group discussions conducted and analyzed on care-seeking behavior of PLHA. Participatory Training Module for Health Care Workers developed, piloted and assessed. Achievement checklist launched, leading to development of hospital action plans. IEC materials on universal precautions and infection control developed and field-tested at department level. Disseminated in 2 hospitals. Hospital policy guidelines developed. Three posters and one oral presentation at Barcelona International AIDS Conference. Results also presented at a USAID Satellite meeting on stigma. Oral presentation at the Melbourne conference and poster at Chiang Mai conference. Achievement Checklist and Policy Guidelines finalized for wider dissemination.
South Africa	Adding Emerging STI/HIV Issues to FP and ANC - Situation Analysis in KwaZulu Natal	Assess whether antenatal services in very high HIV/AIDS prevalence areas are providing or, after up-grading, can provide services such as counseling on condom use for dual protection, access to ARVs to prevent MTCT, and diagnosis and treatment of STIs.	Data analysis and draft final report completed by end of March. Dissemination seminar planned for June in Durban, South Africa. Final report expected by July. Four abstracts on the basis of data from this study were submitted -- two for the annual meeting of APHA and two for ICASA in Nairobi.

Location	Activity Name / Hz ID / Effective Dates / Monitor	Expected Project Results	Results Achieved in Period Oct. 1, 2002 – Mar. 31, 2003
Thailand	Community-level Case Management of Opportunistic Infections in Settings with High HIV/AIDS Prevalence (Lampang)	Produce tools to manage OIs, improve care provided for OIs, increase quality of care of PLHA, improve the quality of life of PLHA. This study will improve the skills of caregivers and the lives of PLHA.	The final report is being written.
Uganda	A Modified Approach to Support of AIDS-affected Children	Better understand interventions that improve the physical, educational, economic and psychological well being of children orphaned by HIV/AIDS. This will lead to more effective programs, and will highlight the need for national policies that protect the rights of survivors (especially women and children) to inherited property.	Final National Dissemination Meeting held in Kampala, Uganda. Abstract submitted to ICASA. Reports on qualitative research completed by Fred Bateganya. Additional impact analysis and final report drafted. Sub-agreement completed. Final financial and substantive reports completed by PI and submitted to PC/NY.
Zambia	Involving Young People in the Care and Support of PLHA	Reduce risk behaviors among young people who provide care and support to PLHA, strengthen prevention activities, improve messages and advocacy strategies for stigma reduction at the community level. This study will reduce risk behavior among youth in order to decrease HIV infection in young adults.	Follow-up training in care and support conducted for 30 clubs. Three PLHA support groups formed. Youth Clubs provided with Care and Support materials. Dissemination activities and Support materials. Dissemination activities for baseline results conducted in 4 districts and in Lusaka. Research update: "Mobilizing Young People for the Care and Support of PLHA in Zambia" published. End of study survey involving about 1,000 club members concluded. One poster and one oral presentation at Barcelona. One oral presentation and one poster at the Reproductive Health Priorities Conference; one oral at SAHARA-WSSD Conference. Formulated end of study survey dissemination pamphlets for youth clubs.

IR 4.4. IMPROVED PRIVATE SECTOR RESPONSE: Strengthened and expanded private sector organizations' responses in delivering HIV/AIDS information and services

Location	Activity Name / Hz ID / Effective Dates / Monitor	Expected Project Results	Results Achieved in Period Oct. 1, 2002 – Mar. 31, 2003
India	Scaling Up Affordable and Appropriate Care and Support Services for PLHA in South India Hz #27 9/1/1999 – 5/31/2003 Chris Castle	Determine elements of a care and support program that meets perceived needs of clients as well as identifying problems faced by clients seeking treatment and other services; assess costs of services provided and those borne by clients/ their families for care and services; estimate cost recovery potential; explore study's effect on stigmatizing attitudes & discriminatory practices by care providers. This study will provide valuable information about care and support models, including data on cost and the scaling-up process, and will contribute to understanding about viable support services.	A data interpretation meeting with all four scale-up partners was organized in Kerala in November 2003, resulting in a workshop report that will help to inform the key findings and completion of the final study report for this component of the research. Findings from this study were featured in the recent Horizons newsletter on care and support (also translated into Hindi). Dissemination events for this study were held in Chennai and New Delhi in March 2003. The Chennai event included the participation of directors from the State AIDS Cells in neighboring states, donors, other government officials and NGOs. The New Delhi event included this and other Horizons studies conducted in India. Final reports for both components of this study are in draft form and should be finalized in the coming weeks. An abstract was submitted to the International AIDS Economic Network (IAEN) in March and was selected for presentation at the annual conference in Washington in April 2003.
South Africa	Technical Assistance to the Nelson Mandela Children's Fund (NMCF), Goelama Project Hz #236 9/1/2002 - 9/1/2004 Eka Williams, Jane Chege	Linking up with partners and other stakeholders. Getting updated on implementation of interventions. Measurement of baseline indicators. Dissemination of results.	Despite changes in management within Goelama, we have managed to be involved and to fulfill our role in offering TA in measuring impact of interventions. Baseline data was analyzed and indicators were measured. Preliminary results were presented in November 2002 and January 2003 to NMCF and CCOs. Draft of baseline indicator report is currently being reviewed.

Location	Activity Name / Hz ID / Effective Dates / Monitor	Expected Project Results	Results Achieved in Period Oct. 1, 2002 – Mar. 31, 2003
South Africa	Addressing Stigma and Strengthening Prevention, Care, and Support Services for Workers Hz #32 11/15/2000 - 12/31/2003 Eka Esu-Williams, Julie Pulerwitz, Joseph Motsepe	Evaluate different approaches for providing HIV/AIDS-related prevention, care, and support services to workers; recommend stigma reduction activities. This study will introduce an HIV/AIDS stigma-reduction program into one of the largest corporations in South Africa.	Worker peer educators trained, and NGO staff trained; female family member peer educators recruited. ESKOM policy document drafted and distributed across the workplace. Study update for managers developed and distributed across the workplace.
Thailand	Evaluation and Accreditation of Workplace AIDS Programs Hz #10 7/1/1998 - 12/31/2002 Patchara Rumakom, Simon Baker	Participation of 150 companies; reduced premiums; verifiable interest in participation in scheme by other companies; knowledge of HIV/AIDS increased among company employees; reduction in risk behavior of company employees. This study tested the feasibility of involving private sector companies in an HIV/AIDS prevention program.	The final report has been written and sent to Horizons for editing. An oral presentation was made at the Thai Population Association Symposium, which was then published as part of the proceedings; one abstract was sent to the 7th ICAP conference.
Vietnam	Expanding Workplace HIV/STI Prevention Activities for Migrant Construction Workers Hz #96 5/1/2000 - 1/31/2003 Philip Guest, Julie Pulerwitz	Evaluate alternative strategies for behavioral change in a workplace setting; determine the extent to which a workplace-based program among a highly mobile population can be scaled-up. This study will serve as a model for addressing the HIV/AIDS prevention needs of a population of migrant construction workers.	Analysis of data completed. Dissemination workshop held. Final report completed and reviewed. Paper presented at Asia Pacific Social Science and Medicine Conference.

IR 4.5. STRENGTHENING DATA COLLECTION FOR MONITORING AND EVALUATION: Improved availability of and capacity to generate and use data to monitor and evaluate HIV/AIDS/STI prevalence, trends and program impacts

Location	Activity Name / Hz ID / Effective Dates / Monitor	Expected Project Results	Results Achieved in Period Oct. 1, 2002 – Mar. 31, 2003
Global	Project Development Hz #126 1/1/2002 - 8/31/2004 Naomi Rutenberg	Umbrella for small activities (formative research, proposal development workshops, consultants) that contribute to operations research proposal development	Several small exploratory studies were conducted to support the development of Horizons proposals, including: Thailand – ARV meeting; Brazil – JHU/Oswaldo Cruz; Kenya – elderly caregivers; Uganda – Charlotte Johnson Welch; Cambodia – John Stoeckel.
Southern Africa	Development of a Training Course in East and Utilization of Research Results Hz #63 6/15/1999 - 9/30/2003 Naomi Rutenberg	Develop, implement, and assess a detailed course curriculum on utilization of research results; 25-40 people trained in methods; revised curriculum and strategy. This activity will increase the skills of program managers to utilize data and thus enhance the effectiveness of their HIV/AIDS programs.	Submitted successful proposal to convene an expert group meeting to review research training needs and capacity in region and explore opportunities for developing operations research training course.

C. Programmatic Database Activity Summaries

The “Detailed Report” produced by the Programmatic Database (PDB) is not available at this time.

III. PROBLEMS AND CONSTRAINTS

Four problems have constrained the Horizons Program during the six months period. First, there was a significant delay in receiving funds from USAID for Program research activities. Nine months after the preceding obligation, funds were finally received in February 2003. The amount received was approximately 40 percent less than anticipated. The delay in receiving funds coupled with the smaller amount forced us to curtail staff travel and postpone implementation of some activities. As a result, we were able to develop and launch fewer new operations research activities than had been planned. With the expectation that future funding levels may continue to be lower than originally expected, we are reviewing and revising the scope of our work activities.

Second, the USAID review and approval process has been longer than in the past. As with funding, this has slowed the implementation of new research activities. USAID is aware of this problem and has established mechanisms to speed the approval process.

Third, to date the Horizons work plan submitted in the summer of 2002 has not been approved. Without an approved work plan we must seek approval for every activity on a one-by-one basis. Again, this causes delays. USAID is aware of the situation.

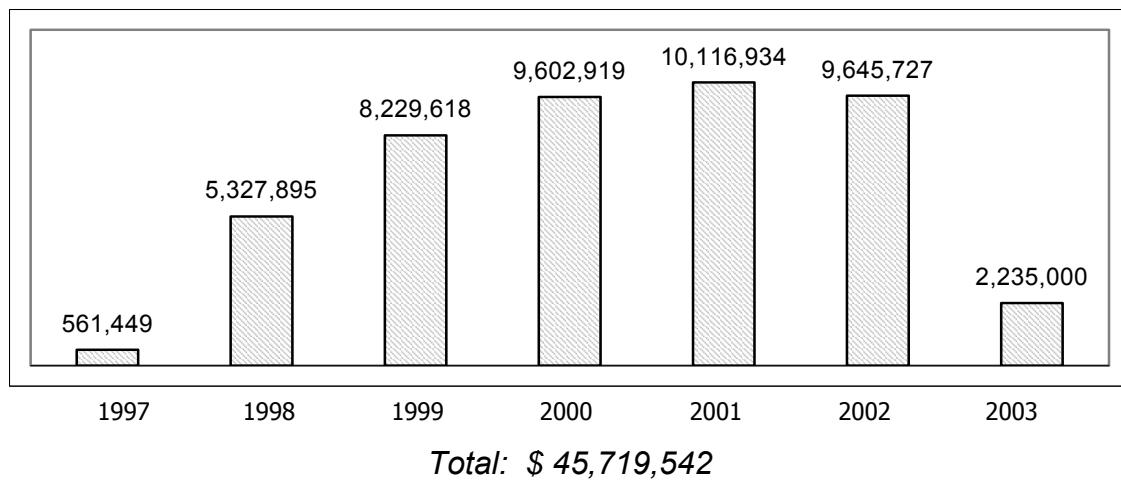
Fourth, because of the conflict in Iraq, we severely curtailed international staff travel. This slowed the development of new studies and resulted in the postponement of several planned meetings in Africa and Asia. This situation is beginning to change but we are still not back to a full travel schedule.

IV. FINANCIAL SUMMARY (through March 2003)

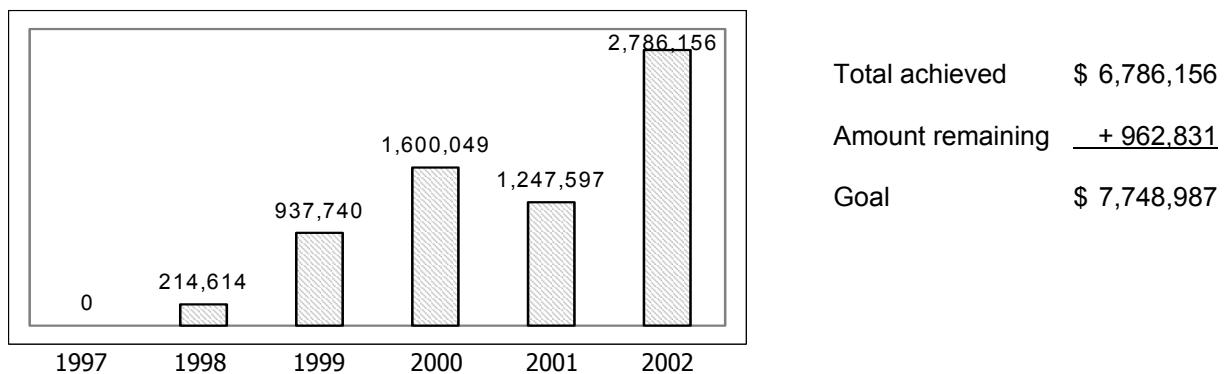
EXPENDED / OBLIGATED

Approved total, Horizons I & II	\$ 104,992,795
USAID has obligated	51,026,020
Hz spent/obligated (to 3/31/03)	49.1 million
<i>Spent</i>	<i>45.7 million</i>
<i>Unliquidated Obligations</i>	<i>3.4 million</i>

ANNUAL EXPENDITURES (\$)



COST SHARE (\$)



Section I**CONTRACT/GRANT/COOPERATIVE AGREEMENT BASELINE REPORT**COTR/CTO: David Stanton

TA: _____

DATE PREPARED: 30-Apr-03**Section II****PROJECT/ACTIVITY LEVEL INFORMATION**NUMBER: 936-3090TITLE: Global Operations Research, HIV/AIDS

TOTAL AUTHORIZ./APPROVED AMT.:	<u>\$104,992,795</u>	*CORE:	<u>104,992,795</u>	Fld Support.	<u>1,840,000</u>	BI/AO
CUM. OBLIGATIONS FOR PROJECT	<u>\$51,026,020</u>	*CORE:	<u>49,186,020</u>	Fld Support.	<u>1,840,000</u>	BI/AO

DATE (1ST.) IFY OBLIGAT.:	<u>7/29/1997</u>	FFY OBLIG.:	<u>FY</u>	PACD:	_____
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* CORE includes OYB Transfers.

Section III**CONTRACTOR/GRAANTEE INFORMATION**CONTRACTOR/GRAANTEE NAME:
Population CouncilCONTRACT/GRANT NO:
HRN-A-00-97-000-12-00

CONT./GRANT AGREEMENT START DATE: (Date Signed)	<u>7/29/1997</u>	CONT./GRANT. AGREEM. END DATE:	<u>7/31/2007</u>
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Section IV**EVALUATIONS AND VISITS**

CONTRACT/GRANT/COOPERATIVE AGREEMENT:

Date Last Evaluation NADate Last Site Visit NA**Section V****BUDGET AND FINANCIAL INFORMATION (\$000)**

	PHN CORE + OYB Transfer	PHN FS POP+NON POP	PHN Core + FS	BUY-INS OR ADD-ONS	TOTAL ALL
1. Total Estimated Cost:			104,993	0	104,993
2. Cumulative Obligations (Thru 02/04/03)	49,186	1,840	51,026	0	51,026
3. Planned Remaining C/F:	0	0	0	0	0
4. Cumulative Expenditures (Thru 03/31/03):	45,720	0	45,720	0	45,720
5. Pipeline (incl 02/04/03 \$4mill):	3,466	1,840	5,306	0	5,306
6. Expended in Past Year (04/01/02-03/31/03):	9,650	0	9,650	0	9,650
7. Actual Monthly Burn Rate: (04/01/02 - 03/31/03)	804	0	0	0	804
8. Planned Expenditures Next 6 mths (04/01/03 - 09/30/03)**:	7,040	0	7,040	0	7,040
9. Planned Monthly Burn Rate: (04/01/03 - 09/30/03)	1,173	0	1,173	0	1,173
10. Months Funding After 03/31/03	4.5	0	0	0	4.5

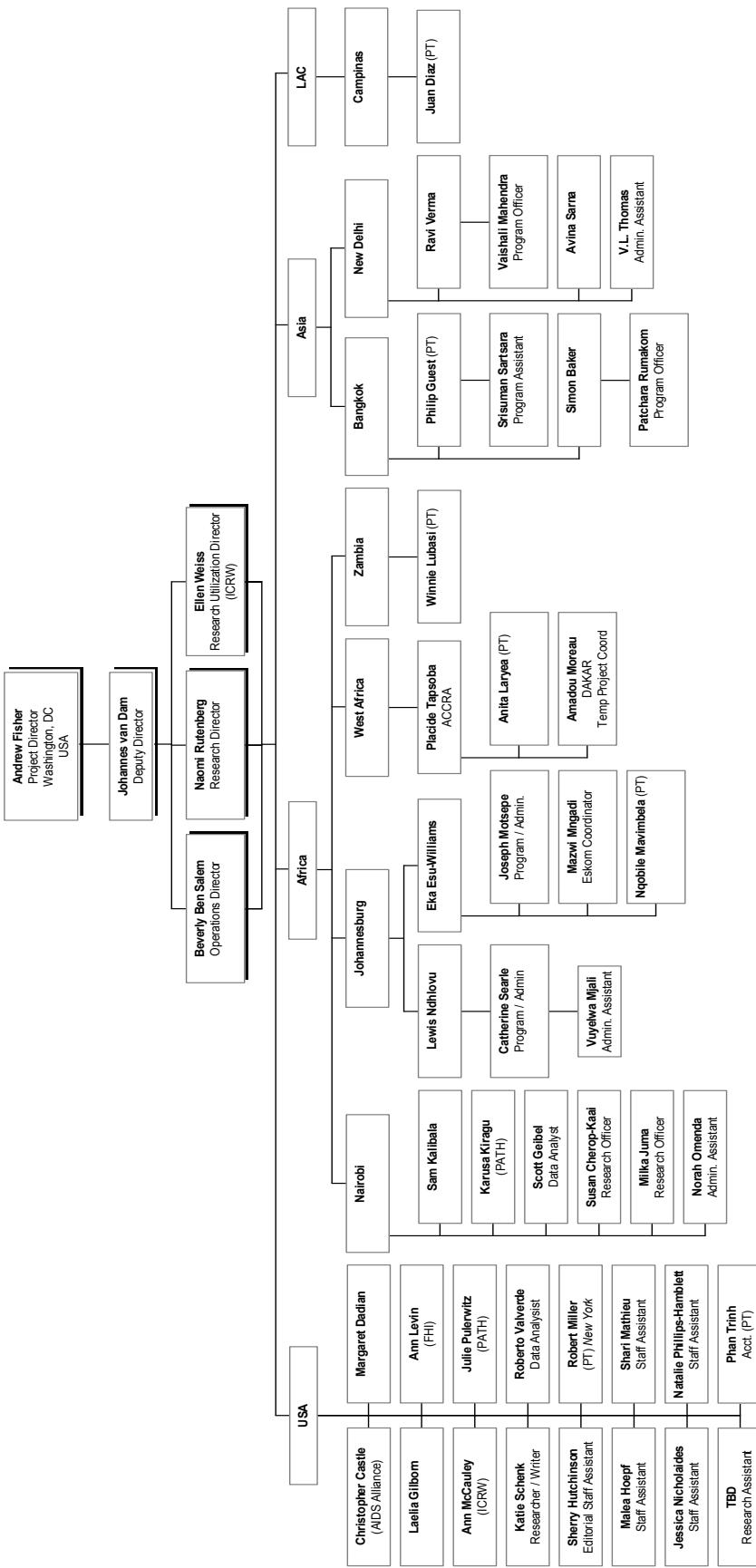
**includes \$ 3,394 (000) of unliquidated obligations + new subawards

Shaded area not to be filled in.

* Buy-In/Add-on column, Items 6-10 are optional, provide figures if available.

V. ANNEXES

Horizons Program Organizational Chart



Project Locations

Past and Present

